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| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br>Form PTO-1449 | Docket Number  | Application Number |
|   | 92654-004-404  | 10/623,656         |
|   | Applicant(s)   |                    |
|   | James G. GATTO |                    |
|   | Filing Date    | Group Art Unit     |
|   | July 22, 2003  | 2876               |

### U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NO. | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF<br>APPROPRIATE |
|----------------------|-----|--------------|------------|-------------------|-------|----------|----------------------------------|
| KOF                  | 1A  | 3,184,714    | 05/18/1965 | Brown, Jr. et al. | 340   | 149      |                                  |
|                      | 1B  | 3,655,947    | 04/11/1972 | Yamamoto et al.   | 235   | 61.7 B   |                                  |
|                      | 1C  | 3,778,595    | 12/11/1973 | Hatanaka et al.   | 235   | 31.7 B   |                                  |
|                      | 1D  | 3,786,421    | 01/15/1974 | Wostl et al.      | 340   | 149 A    |                                  |
|                      | 1E  | 3,931,497    | 01/06/1976 | Gentile et al.    | 235   | 61.7 B   |                                  |
|                      | 1F  | 4,197,986    | 04/15/1980 | Nagata            | 235   | 379      |                                  |
|                      | 1G  | 4,225,779    | 09/30/1980 | Sano et al.       | 235   | 379      |                                  |
|                      | 1H  | 4,314,352    | 02/02/1982 | Fought            | 364   | 900      |                                  |
|                      | 1I  | 4,319,336    | 03/09/1982 | Anderson et al.   | 364   | 900      |                                  |
|                      | 1J  | 4,359,631    | 11/16/1982 | Lockwood et al.   | 235   | 381      |                                  |
|                      | 1K  | 4,360,728    | 11/23/1982 | Drexler           | 235   | 462      |                                  |
|                      | 1L  | 4,460,960    | 07/17/1984 | Anderson et al.   | 364   | 200      |                                  |
|                      | 1M  | 4,484,304    | 11/20/1984 | Anderson et al.   | 364   | 900      |                                  |
|                      | 1N  | 4,511,970    | 04/16/1985 | Okano et al.      | 364   | 401      |                                  |
|                      | 1O  | 4,525,779    | 06/25/1985 | Davids et al.     | 364   | 200      |                                  |
|                      | 1P  | 4,593,183    | 06/03/1986 | Fukatsu           | 235   | 379      |                                  |
|                      | 1Q  | 4,608,485    | 08/26/1986 | Miura             | 235   | 379      |                                  |
|                      | 1R  | 4,650,977    | 03/17/1987 | Couch             | 235   | 379      |                                  |
|                      | 1S  | 4,660,168    | 04/21/1987 | Grant et al.      | 364   | 900      |                                  |
|                      | 1T  | 4,727,243    | 02/23/1988 | Savar             | 235   | 379      |                                  |
|                      | 1U  | 4,736,094    | 04/05/1988 | Yoshida           | 235   | 379      |                                  |
|                      | 1V  | 4,900,903    | 02/13/1990 | Wright et al.     | 235   | 380      |                                  |
| KOF                  | 1W  | 4,900,906    | 02/13/1990 | Pusic             | 235   | 381      |                                  |

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|     | REF | DOCUMENT NO. | DATE       | COUNTRY | CLASS | SUBCLASS | Translations |    |
|-----|-----|--------------|------------|---------|-------|----------|--------------|----|
|     |     |              |            |         |       |          | YES          | NO |
| KOF | 1X  | 0 014 312 A2 | 08/20/1980 | Europe  |       |          |              |    |
|     | 1Y  | 0 157 416 A2 | 10/09/1985 | Europe  |       |          |              |    |
|     | 1Z  | 0 162 221 A2 | 11/27/1985 | Europe  |       |          |              |    |
| KOF | 1AA | 0 717 381 A1 | 06/19/1996 | Europe  |       |          |              |    |

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|     |     |  |  |  |  |  |  |
|-----|-----|--|--|--|--|--|--|
| KOF | 1BB | "Smart Cards: NCR & AT&T Announce New Smart Cards ATM Technology", Edge, December 11, 1992, 2 pages. |  |  |  |  |  |
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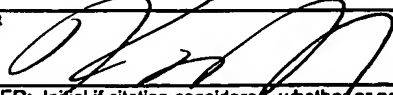
| *EXAMINER<br>INITIAL | REF | DOCUMENT NO. | DATE       | NAME                   | CLASS | SUBCLASS | FILING DATE<br>IF<br>APPROPRIATE |
|----------------------|-----|--------------|------------|------------------------|-------|----------|----------------------------------|
| ✓                    | 2A  | 4,959,788    | 09/25/1990 | Nagata <i>et al.</i>   | 364   | 408      |                                  |
|                      | 2B  | 4,967,366    | 10/30/1990 | Kaehler                | 364   | 479      |                                  |
|                      | 2C  | 5,010,238    | 04/23/1991 | Kadono <i>et al.</i>   | 235   | 379      |                                  |
|                      | 2D  | 5,034,916    | 07/23/1991 | Ordish                 | 364   | 900      |                                  |
|                      | 2E  | 5,047,613    | 09/10/1991 | Swegen <i>et al.</i>   | 235   | 379      |                                  |
|                      | 2F  | 5,132,521    | 07/21/1992 | Smith <i>et al.</i>    | 235   | 382      |                                  |
|                      | 2G  | 5,140,517    | 08/18/1992 | Nagata <i>et al.</i>   | 364   | 408      |                                  |
|                      | 2H  | 5,144,115    | 09/01/1992 | Yoshida                | 235   | 379      |                                  |
|                      | 2I  | 5,175,416    | 12/29/1992 | Mansvelt <i>et al.</i> | 235   | 379      |                                  |
|                      | 2J  | 5,202,549    | 04/13/1993 | Decker <i>et al.</i>   | 235   | 379      |                                  |
|                      | 2K  | 5,265,033    | 11/23/1993 | Vajk <i>et al.</i>     | 364   | 514      |                                  |
|                      | 2L  | 5,276,314    | 01/04/1994 | Martino <i>et al.</i>  | 235   | 380      |                                  |
|                      | 2M  | 5,327,066    | 07/05/1994 | Smith                  | 320   | 2        |                                  |
|                      | 2N  | 5,340,969    | 08/23/1994 | Cox                    | 235   | 381      |                                  |
|                      | 2O  | 5,343,906    | 09/06/1994 | Tibbals, III           | 141   | 83       |                                  |
|                      | 2P  | 5,365,516    | 11/15/1994 | Jandreil               | 370   | 18       |                                  |
|                      | 2Q  | 5,389,773    | 02/14/1995 | Coutts <i>et al.</i>   | 235   | 379      |                                  |
|                      | 2R  | 5,408,417    | 04/18/1995 | Wilder                 | 364   | 479      |                                  |
|                      | 2S  | 5,424,938    | 06/13/1995 | Wagner <i>et al.</i>   | 364   | 408      |                                  |
|                      | 2T  | 5,434,395    | 07/18/1995 | Storck <i>et al.</i>   | 235   | 380      |                                  |
|                      | 2U  | 5,484,988    | 01/16/1996 | Hills <i>et al.</i>    | 235   | 379      |                                  |
| ✓                    | 2V  | 5,493,315    | 02/20/1996 | Atchley                | 345   | 200      |                                  |
| ✓                    | 2W  | 5,496,992    | 03/05/1996 | Madan <i>et al.</i>    | 235   | 462      |                                  |

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|----------------------|-----|--------------|------------|-------------------------|-------|----------|----------------------------------|
| <i>WOT</i>           | 3A  | 5,521,363    | 05/28/1996 | Tannenbaum              | 235   | 379      |                                  |
|                      | 3B  | 5,525,081    | 06/11/1996 | Mardesich <i>et al.</i> | 440   | 6        |                                  |
|                      | 3C  | 5,526,357    | 06/11/1996 | Jandrell                | 370   | 95.2     |                                  |
|                      | 3D  | 5,546,523    | 08/13/1996 | Gatto                   | 395   | 156      |                                  |
|                      | 3E  | 5,613,012    | 03/18/1997 | Hoffman <i>et al.</i>   | 382   | 115      |                                  |
|                      | 3F  | 5,615,277    | 03/25/1997 | Hoffman                 | 382   | 115      |                                  |
|                      | 3G  | 5,664,110    | 09/02/1997 | Green <i>et al.</i>     | 705   | 26       |                                  |
|                      | 3H  | 5,705,798    | 01/06/1998 | Tarbox                  | 235   | 379      |                                  |
|                      | 3I  | 5,710,887    | 01/20/1998 | Chelliah <i>et al.</i>  | 395   | 226      |                                  |
|                      | 3J  | 5,725,124    | 03/10/1998 | Bustos <i>et al.</i>    | 221   | 211      |                                  |
|                      | 3K  | 5,737,439    | 04/07/1998 | Lapsley <i>et al.</i>   | 382   | 115      |                                  |
|                      | 3L  | 5,764,789    | 06/09/1998 | Pare, Jr. <i>et al.</i> | 382   | 115      |                                  |
|                      | 3M  | 5,802,199    | 09/01/1998 | Pare, Jr. <i>et al.</i> | 382   | 115      |                                  |
|                      | 3N  | 5,805,719    | 09/08/1998 | Pare, Jr. <i>et al.</i> | 382   | 115      |                                  |
|                      | 3O  | 5,838,812    | 11/17/1998 | Pare, Jr. <i>et al.</i> | 382   | 115      |                                  |
|                      | 3P  | 5,859,416    | 01/12/1999 | Gatto                   | 235   | 384      |                                  |
|                      | 3Q  | 5,982,914    | 11/09/1999 | Lee <i>et al.</i>       | 382   | 124      |                                  |
|                      | 3R  | 6,012,039    | 01/04/2000 | Hoffman <i>et al.</i>   | 705   | 14       |                                  |
|                      | 3S  | 6,045,039    | 04/04/2000 | Stinson <i>et al.</i>   | 235   | 379      |                                  |
|                      | 3T  | Des. 425,873 | 05/30/2000 | Anderson <i>et al.</i>  | D14   | 100      |                                  |
|                      | 3U  | 6,073,124    | 06/06/2000 | Krishnan <i>et al.</i>  | 705   | 59       |                                  |
|                      | 3V  | 6,098,879    | 08/08/2000 | Terranova               | 235   | 384      |                                  |
| <i>WOT</i>           | 3W  | 6,131,464    | 10/17/2000 | Pare, Jr. <i>et al.</i> | 73    | 714      |                                  |

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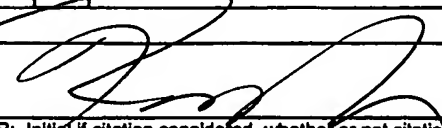
  

| U.S. PATENT DOCUMENTS   |            |              |            |                         |                         |          |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|---|------------|--------------|------------|-------------------------|-------------------------|----------|----------------------------------|--------------------------|--|--|--|--|--|--|--|--|-----|--------------|------|---------|-------|----------|--------------|--|-----|----|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| *EXAMINER<br>INITIAL  | REF        | DOCUMENT NO. | DATE       | NAME                    | CLASS                   | SUBCLASS | FILING DATE<br>IF<br>APPROPRIATE |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| <i>KOF</i><br>  | 4A         | 6,149,055    | 11/21/2000 | Gatto                   | 235                     | 379      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4B         | 6,150,921    | 11/21/2000 | Werb <i>et al.</i>      | 340                     | 10.1     |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4C         | 6,154,879    | 11/28/2000 | Pare, Jr. <i>et al.</i> | 902                     | 3        |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4D         | 6,192,142    | 02/20/2001 | Pare, Jr. <i>et al.</i> | 382                     | 115      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4E         | 6,230,148    | 05/08/2001 | Pare, Jr. <i>et al.</i> | 705                     | 40       |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4F         | 6,269,348    | 07/31/2001 | Pare, Jr. <i>et al.</i> | 705                     | 39       |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4G         | 6,286,756    | 09/11/2001 | Stinson <i>et al.</i>   | 235                     | 379      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4H         | 6,308,428    | 10/30/2001 | Creighton, III          | 33                      | 286      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4I         | 6,366,682    | 04/02/2002 | Hoffman <i>et al.</i>   | 382                     | 115      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4J         | 6,397,198    | 05/28/2002 | Hoffman <i>et al.</i>   | 705                     | 44       |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4K         | 6,411,728    | 06/25/2002 | Lee <i>et al.</i>       | 382                     | 125      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4L         | 6,422,464    | 07/23/2002 | Terranova               | 235                     | 384      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4M         | 6,460,036    | 10/01/2002 | Herz                    | 707                     | 10       |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | 4N         | 6,571,279    | 05/27/2003 | Herz <i>et al.</i>      | 709                     | 217      |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | <i>KOF</i> | 4O           | 6,581,042  | 06/17/2003              | Pare, Jr. <i>et al.</i> | 705      | 40                               |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| <del> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <th colspan="8">FOREIGN PATENT DOCUMENTS</th> </tr> <tr> <th rowspan="2"></th> <th rowspan="2">REF</th> <th rowspan="2">DOCUMENT NO.</th> <th rowspan="2">DATE</th> <th rowspan="2">COUNTRY</th> <th rowspan="2">CLASS</th> <th rowspan="2">SUBCLASS</th> <th colspan="2">Translations</th> </tr> <tr> <th>YES</th> <th>NO</th> </tr> <tr><td colspan="9" style="height: 40px;"></td></tr> <tr><td colspan="9" style="height: 40px;"></td></tr> <tr><td colspan="9" style="height: 40px;"></td></tr> <tr><td colspan="9" style="height: 40px;"></td></tr> </table> </del> |            |              |            |                         |                         |          |                                  | FOREIGN PATENT DOCUMENTS |  |  |  |  |  |  |  |  | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations |  | YES | NO |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| FOREIGN PATENT DOCUMENTS  |            |              |            |                         |                         |          |                                  |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   | REF        | DOCUMENT NO. | DATE       | COUNTRY                 | CLASS                   | SUBCLASS | Translations                     |                          |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|   |            |              |            |                         |                         |          | YES                              | NO                       |  |  |  |  |  |  |  |  |     |              |      |         |       |          |              |  |     |    |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |  |  |  |  |  |
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| EXAMINER<br>   | DATE CONSIDERED<br>8/21/04 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |                            |